

# **R&D STATISTICS**

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- Organisational structure in TurkStat
- Concepts and definitions and methodological base
- Classifications
- Coverage and statistical units
- Survey design
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- Dissemination



# **Organisational structure in TurkStat**

#### **TURKISH STATISTICAL INSTITUTE**



Sectoral Statistics Department Science and Technology Statistics Group



# Science & Technology Statistics Group



#### **Statistics produced**



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# Concepts and definitions and methodological base



# Scientific and Technological Activities (STA) - UNESCO

Scientific and Technological Activities (STA) as those systematic activities which are closely concerned with:

- ➢ Generation,
- > Advancement,
- > Dissemination,
- and application of

scientific and technical knowledge in all fields of science and technology.



### Scientific and Technological Activities (STA) - UNESCO





## **Research and Development (R&D) - OECD**



Frascati Manual 2015 GUIDELINES FOR COLLECTING AND REPORTING DATA ON RESEARCH AND EXPERIMENTAL

The Measurement of Scientific, Technological

and Innovation Activities

DEVELOPMENT

R&D definition proposed by the Frascati Manual has become a standard used in countries':

Science and technology policies
 Economic development policies
 Tax policies and legislation etc.





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## **Research and Development (R&D)**

Research and experimental development (R&D) comprise creative and systematic work undertaken in order to increase the stock of knowledge –including knowledge of humankind, culture and society – and to devise new applications of available knowledge.

#### The term R&D covers three types of activity:

#### ✓ Basic research

(Basic research is experimental or theoretical work undertaken primarily to acquire new knowledge of the underlying foundation of phenomena and observable facts, **without any particular application or use in view**.)

#### ✓ Applied research

(Applied research is original investigation undertaken in order to acquire new knowledge. It is, however, directed primarily towards a specific, practical aim or objective.)

#### Experimental development

(Experimental development is systematic work, drawing on knowledge gained from research and practical experience and producing additional knowledge, which is directed to producing new products or processes or to improving existing products or processes.)



## **Research and Development (R&D)**

For an activity to be an R&D activity, it must satisfy five core criteria:

- Novel (copy, imitate or reverse engineer are not includes novelty.)
- Creative (routine change to products or processes should be excluded)
- Uncertain (in terms of quantity of time and resources needed to achieve it)
- Systematic (should be planned and budgeted)
- Transferable and/or reproducible (It should ensure the use of new knowledge and allow other researchers to reproduce results as part of their R&D activities)



# Purpose

For all R&D activities carried out in the reference period within the borders of the country;

R&D expenditure
Human resource used for R&D activity,
R&D Financing

compilation and publication of information.

# Intersection of performing and funding R&D

	R&D performance within the unit	R&D performance outside the unit		
Internal sources of funds	(i) Intramural R&D performed with internal funds	(iii) Funding extramural R&D performance using		
		internal funds		
External sources of funds	(ii) Intramural R&D performed with external funds	(iv) Funding extramural R&D performance using		
		external funds		

Expenditures on intramural R&D represent the amount of money spent on R&D that is performed within a reporting unit (i,ii)

The aggregation of intramural R&D for all units within a sector is synonymous with the performance of R&D within a sector of the economy.

The summation of intramural R&D for all sectors is synonymous with the performance of R&D for the entire economy (GERD).

# **GERD Matrix**

	Performing sector				
Funding sector	Business enterprise sector	Government sector	Higher education sector	Private non- profit sector (PNP)	TOTAL
Business enterprise sector	Х	Х	Х	Х	Х
Government sector	Х	Х	Х	Х	Х
Higher education sector	Х	Х	Х	Х	Х
Private non-profit sector (PNP)	Х	Х	Х	Х	Х
<ul> <li>Rest of the world</li> <li>Business enterprise sector</li> <li>Government sector</li> <li>Higher education sector</li> <li>Private non-profit sector</li> <li>EU Institutions</li> <li>International organisations (FAO, OECD etc.)</li> </ul>	X	Х	Х	Х	Х
TOTAL	Х	Х	Х	Х	Х



## Funding flows from the perspective of an R&D performer



While calculating the intramural R&D activity, sections one and two in the circle are taken into account.

Internal R&D funds are the amount of money spent on R&D that originate within the control of a reporting unit. External R&D funds are the amount of money spent on R&D that originates outside the control of a reporting unit. "External R&D funds" divides into two groups. These are R&D transfer funds and R&D exchange funds.

<u>**R&D transfer funds**</u> are funding flows from one statistical unit to another statistical unit to perform R&D that does not require any good or service in return and where the funder is not entitled to any significant rights on the outcome of the R&D it has funded.

<u>**R&D**</u> exchange funds are funding flows from one statistical unit to another statistical unit in return for the performance of R&D and the delivery of relevant R&D outcomes.

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## **Measurement of R&D expenditures: Performance and sources of funds**



## **Summary of R&D expenditure categories**



# Summary of intramural R&D expenditure categories





#### Summary of R&D expenditure categories



# **Summary of intramural R&D expenditure categories**



# Summary of intramural R&D expenditure categories





# **R&D** personnel by function



#### **Researchers Personnel**

#### **Researchers tasks**

- Conducting research, experiments, tests and analyses
- > Developing concepts, theories, models, techniques, instrumentation, software and operational methods
- ➢ Gathering, processing, evaluating, analysing, and interpreting research data
- Evaluating the results of investigations and experiments and positing conclusions using different techniques and models
- > Applying principles, techniques and processes to develop or improve practical applications
- Advising on designing, planning and organising the testing, construction, installation and maintenance of structures, machines, systems and their components
- Providing advice and support to governments, organisations and businesses on the application of research results
- $\geq$  Planning, directing and coordinating the R&D activities of institutions that provide related services to other organisations
- Preparing scientific papers and reports.

# אונור

# **Technicians and equivalent and Other supporting staff tasks**

#### Technicians and equivalent staff:

Carrying out bibliographic searches and selecting relevant material from archives and libraries

➤ Preparing computer programs

Carrying out experiments, tests and analyses

- ➢ Providing technical assistance and support in R&D, or testing prototypes
- > Operating, maintaining and repairing research equipment
- > Preparing materials and equipment for experiments, tests and analyses
- > Recording measurements, making calculations and preparing charts and graphs
- ➤Collecting information using accepted scientific methods
- >Assisting in analysing data, keeping records and preparing reports

Carrying out statistical surveys and interviews.

#### Other supporting staff :

Skilled and unskilled craftsmen
 Administrative, secretarial and clerical staff

#### Persons employed and external contributors



# Head Count (HC) vs. Full-Time Equivalent (FTE)





# Full-time equivalent (FTE) formula to R&D personnel

The Full-time equivalent (FTE) of R&D personnel is defined as the ratio of working hours actually spent on R&D during a specific reference period divided by the total number of hours conventionally worked in the same period by an individual or by a group.



# Full-time equivalent (FTE) of R&D personnel

The following examples indicate how the formula could be used in the calculation of FTE totals:

1. a full-time employee spending 100% of time on R&D during a year ?

#### $\rightarrow$ (1 x 1 x 1) = 1 FTE

2. a full-time employee spending 30% of time on R&D during a year?

→ (1 x 1 x 0.3) = 0.3 FTE

3. a full-time R&D person spending 100% of time on R&D employed at an R&D institution only for six months ?

#### → (1 x 0.5 x 1) = 0.5 FTE

4. a full-time employee spending 40% of time on R&D during half of the year (the person is only active for 6 months per year) ?

#### → (1 x 0.5 x 0.4) = 0.2 FTE

5. a part-time employee (working 40% of a full-time year) engaged only in R&D (spending 100% of time on R&D) during a year ?

#### $\rightarrow$ (1 x 1 x 0.4) = 0.4 FTE

- 6. a part-time employee (working 40% of a full-time year) spending 60% of time on R&D during half of the year (person is only active for 6 months per year) ?
- → (0.5 x 0.6 x 0.4) = 0.12 FTE

# FTE (Specific problems in the higher education sector)

#### There are two interrelated problems for measurement of R&D personnel:

- *Definition of the working time.*
- Calculation of full-time equivalence.

#### Characteristics of working time of an academic teacher/researcher

Teaching hours usually well-defined, but absolute working time varies:

- Number of teaching hours per week
- Demands made by examinations and student supervision
- Administrative duties
- Nature of R&D activities and deadlines imposed
- Student vacation periods

# much of their professional activity – notably R&D – is carried out outside "normal working hours".

# FTE (Specific problems in the higher education sector)





Census cannot be recommended for all countries

Sample should be representative of the categories and stratified by FORD

#### Question 2: Reporting unit?

- The preferred reporting unit individual researcher,
- > not the university administration.

#### Question 3: Type of activities?

≻R&D,

Teaching.

➤Other work

#### **Question 4: Period of time?**

>All typical periods within one year should be covered.

#### **Question 4: Frequency?**

Desirable for the surveys to be regular

>Interval between two surveys should, if possible, not exceed five years.



#### Time-Use Survey Example in Turkey

History: Conducted in 2015 (reference year 2014) (the previous one conducted in 2005)

**Frame:** *Higher Education Council Academic Personnel Database* 

**Sampling:** *Stratified by academic title (5 categories) and FoS (6 categories)* 

**Sample size:** *15.980 (14% of target population)* 

Survey type: Stand-alone web survey

Reporting unit: Individual researcher





## **Time-Use Survey Example in Turkey**

#### Time proportion:

≻R&D

Teaching for graduate level

Teaching for postgraduate / doctorate level

➤ Supervision of students

➤Administration

➤Other work

**Period of time:** One typical week during the lecture period and another week in the lecture-free period

# **Recommended distribution of R&D personnel (HC/FTE)**



#### R&D personnel by sex

≻Female

≻Male

#### R&D personnel by R&D function

➤ Researchers,

> Technicians and equivalent staff,

➤Other supporting staff.

#### R&D personnel by employment status

►Internal R&D personnel,

➤ExternalR&D personnel

#### □ R&D personnel by age

➤under 25 years

≥25-34 years

▶5-44 years

▶45-54 years

>55-64 years

▶ 65 years and more.

#### □ R&D personnel and researchers by formal qualification

> Holders of university degrees at doctoral or equivalent level (ISCED level 8).

➤Holders of university degrees at master's or equivalent level (ISCED level 7).

> Holders of university degrees at bachelor's or equivalent level (ISCED level 6).

> Holders of other tertiary level diplomas (ISCED level 5).

➤Holders of post-secondary non-tertiary diplomas (ISCED level 4).

> Holders of diplomas of upper secondary education (ISCED level 3).

>Other qualifications (below ISCED level 3 or with education not falling under any of the other six classes

□ R&D personnel by seniority level.

#### R&D personnel by geographic origin R&D personnel flows

*If possible* 

Notably

### **Borderline between R&D and other activities**

Item	Include in R&D	Not include in R&D
Prototypes	As long as the primary objective is to make further improvements.	Several copies of a prototype
Pilot plants	As long as the primary purpose is R&D.	As soon as this experimental phase is over, a pilot plant switches to operating as a normal commercial production unit
Trial production	if production implies full-scale testing and subsequent further design and engineering.	Exclude all other associated activities
Pre-production development		to be excluded from R&D
After-sales service and trouble shooting	if "feedback" R&D (to be included).	Exclude Except "feedback" R&D (to be included).
Patent and licenses work	All administrative and legal work needed to apply for patents and licences (delivering documentation as an outcome of R&D projects is R&D). Patent work connected directly with R&D projects is R&D.	Not connected directly with R&D projects is not R&D.
Routine tests		Exclude (Even if undertaken by R&D personnel.)
General purpose data collection		to be excluded from R&D
Routine compliance with public inspection control, enforcement of standards, regulations		to be excluded from R&D

#### **Borderline between R&D and other activities**

Item	Include in R&D	Not include in R&D
Tooling up and industrial	If the tooling up process results in further R&D work,	
engineering	such as improvements in the production of machinery	
	and tools or changes to the production and quality	if the tooling up process a part of the production
	control procedures or the development of new methods	process these activities are not classified as R&D.
	and standards, these activities are classified as R&D.	
		Phase 4 clinical trials, which continue testing the drug
Clinical trial	For the purposes of international comparison, by	or treatment after approval and manufacture, should
	convention, clinical trial phases 1, 2 and 3 can be	only be treated as R&D <b>if they bring about a further</b>
	treated as R&D	scientific or technological advance.
R&D and software	<ul> <li>The development of new operating systems or</li> </ul>	<ul> <li>The development of business application software and</li> </ul>
development	languages	information systems using known methods and existing
	•The design and implementation of new search engines	software tools
	based on original technologies	<ul> <li>Adding user functionality to existing application</li> </ul>
	<ul> <li>The effort to resolve conflicts within hardware or</li> </ul>	programs (including basic data entry functionalities)
	software based on the process of re-engineering a	<ul> <li>The creation of websites or software using existing</li> </ul>
	system or a network	tools
	•The creation of new or more efficient algorithms based	<ul> <li>The use of standard methods of encryption, security</li> </ul>
	on new techniques	verification and data integrity testing
	•The creation of new and original encryption or security	<ul> <li>The customization of a product for a particular use,</li> </ul>
	techniques.	unless during this process knowledge is added that
		significantly improves the base program
		<ul> <li>Routine debugging of existing systems and programs,</li> </ul>
		unless this is done prior to the end of the experimental
		development process.


#### **Borderline between R&D and other activities**

Item	Include in R&D	Not include in R&D
R&D and education and training	•Since the research activity performed by doctoral students should be included in the overall R&D performed by the higher education sector, both they and the university staff acting as their instructors or supervisors should be included in R&D personnel totals	<ul> <li>Educational and training institutions below the tertiary level focus their resources on teaching and, as a result, have a very low likelihood of being involved in R&amp;D projects.</li> <li>The time spent by the university staff to undertake tasks that are not related to research should be excluded from the estimation of the actual R&amp;D performance. This applies to all scientific disciplines.</li> <li>All education and training of personnel in the natural sciences, engineering, medicine, agriculture, the social sciences and the humanities and the arts in universities and special institutions of higher education should be excluded from R&amp;D.</li> </ul>
Routine testing and standardisation		to be excluded from R&D
Production and related technical activities		to be excluded from R&D
Feasibility studies		to be excluded from R&D
Scientific and technical information services		to be excluded from R&D
Policy-related studies		to be excluded from R&D
Purely R&D-financing activities		to be excluded from R&D
Indirect supporting activities		to be excluded from R&D
Programmatic evaluations		to be excluded from R&D



# **Classifications**



#### **Structure of NACE Rev. 2**

Section	n Title	Divisions
Α	Agriculture, forestry and fishing	01 – 03
В	Mining and quarrying	05 – 09
С	Manufacturing	10 – 33
D	Electricity, gas, steam and air conditioning supply	35
E	Water supply; sewerage, waste management and remediation activities	36 – 39
F	Construction	41 – 43
G	Wholesale and retail trade; repair of motor vehicles and motorcycles	45 – 47
Н	Transportation and storage	49 – 53
I	Accommodation and food service activities	55 – 56
J	Information and communication	58 – 63
K	Financial and insurance activities	64 – 66
L	Real estate activities	68
М	Professional, scientific and technical activities	69 – 75
N	Administrative and support service activities	77 – 82
0	Public administration and defence; compulsory social security	84
Р	Education	85
Q	Human health and social work activities	86 – 88
R	Arts, entertainment and recreation	90 – 93
S	Other service activities	94 – 96
т	Activities of households as employers; undifferentiate goods- and services-producing activities of households for own use	97 – 98
U	Activities of extraterritorial organisations and bodies	99



## **Classification of NABS**

01	Exploration and exploitation of the earth	
02	Environment	
03	Exploration and exploitation of space	
04	Transport, telecommunication and other infrastructures	
05	Energy	
06	Industrial production and technology	
07	Health	
08	Agriculture	
09	Education	
10	Culture, recreation, religion and mass media	
11	Political and social systems, structures and processes	
12	General advancement of knowledge: R&D financed from gen	eral 12.1 R&D related to Natural Sciences
	university funds (GUF)	12.2 R&D related to Engineering Sciences
		12.3 R&D related to Medical Sciences
		12.4 R&D related to Agricultural Sciences
		12.5 R&D related to Social Sciences
		12.6 R&D related to Humanities
13	General advancement of knowledge: R&D financed from	13.1 R&D related to Natural Sciences
	other sources than GUF	13.2 R&D related to Engineering Sciences
		13.3 R&D related to Medical Sciences
		13.4 R&D related to Agricultural Sciences
		13.5 R&D related to Social Sciences
		13.6 R&D related to Humanities
14	Defence	

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# **R&D** personnel by International Standard Classification of Education (ISCED)

Holders of			Sector		
Tertiary degrees	Business enterprise sector	Government sector	Higher education sector	Private non- profit sector (PNP)	TOTAL
Doctoral or equivalent (ISCED 8)	Х	Х	Х	Х	Х
Master's or equivalent (ISCED 7)	Х	Х	Х	Х	Х
Bachelor's or equivalent (ISCED 6)	Х	Х	Х	Х	Х
Other tertiary level diplomas (ISCED 5)	Х	Х	Х	Х	Х
Other degrees (ISCED 1 to 4)	Х	Х	х	Х	х
TOTAL	Х	Х	Х	Х	Х



## Fields of R&D classification (FORD)

1	Natural	sciences	4	Agricul	tural and veterinary sciences
	1.1	Mathematics		4.1	Agriculture, forestry, and fisheries
	1.2	Computer and information sciences		4.2	Animal and dairy science
	1.3	Physical sciences		4.3	Veterinary science
	1.4	Chemical sciences		4.4	Agricultural biotechnology
	1.5	Earth and related environmental sciences		4.5	Other agricultural sciences
	1.6	Biological sciences	5	Social	sciences
	1.7	Other natural sciences		5.1	Psychology and cognitive sciences
2	Engine	ering and technology		5.2	Economics and business
	2.1	Civil engineering		5.3	Education
	2.2	Electrical engineering, electronic engineering, information engineering		5.4	Sociology
	2.3	Mechanical engineering		5.5	Law
	2.4	Chemical engineering		5.6	Political science
	2.5	Materials engineering		5.7	Social and economic geography
	2.6	Medical engineering		5.8	Media and communications
	2.7	Environmental engineering		5.9	Other social sciences
	2.8	Environmental biotechnology	6	Humanities and the arts	
	2.9	Industrial biotechnology		6.1	History and archaeology
	2.10	Nano-technology		6.2	Languages and literature
	2.11	Other engineering and technologies		6.3	Philosophy, ethics and religion
3	Medica	l and health sciences		6.4	Arts (arts, history of arts, performing arts, music)
	3.1	Basic medicine		6.5	Other humanities
	3.2	Clinical medicine			
	3.3	Health sciences			
	3.4	Medical biotechnology			
	3.5	Other medical science			



# **Coverage and statistical units**



### Why sectoring?

- Different questionnaires and survey methods can be used for each sector
- When measuring R&D expenditure and personnel, the sectoral approach offers a reliable approach for building up national aggregates.
- Sectoring offers a framework for analyzing the flows of funds between R&D funding and R&D-performing entities
- Aggregation into sectors also helps avoid the problem posed by the often confidential nature of R&D data collected under statistical secrecy rules.
- It is acknowledged that a single classification scheme may not be sufficient on its own to accomplish each one of these multiple purposes or to meet the varied and increasing range of user interests in R&D statistics.



#### **Coverage for Business Enterprises Sector**

#### **Coverage:**

- 1. All resident financial and non-financial corporations, including not only legally incorporated enterprises, regardless of the residence of their shareholders.
- 2. All resident non-profit institutions (NPIs) mainly serving business.
- engaged in market production (Research institutes, clinics, hospitals, medical practitioners in private, fee-paying practices, etc.)
- ✓ serving business (they are designed to promote, such as chambers of commerce and agricultural, manufacturing or trade associations)
- **3. Government-controlled enterprises (public enterprises) (**Business organizations wholly or partly owned by the state and controlled through a public authority. Utilities (gas, electricity, etc.), broadcasting, telecommunications, and certain forms of transport are examples of this kind of public enterprise.
- 4. Private enterprises producing higher education services should be included in the higher education sector



## Size classes of Business Enterprises Sector (BES)

#### Size classes:

- ➢ Micro (1-9)
- Small: 10-49
- Medium: 50-249
- Large: 250 and above



#### **Framework for Business Enterprises**

#### Framework example for Business Enterprises Sector in Turkey:

- Enterprises which are known as R&D performers from previous surveys (R&D, Innovation and Structural Business Surveys)
- Enterprises supported directly by Government Institutions (Including all enterprises applied grant whether or not the project granted)
- Enterprises supported indirectly via tax incentives or R&D deductions under the Law on Supporting Research and Development
- Enterprises in Technology Development Zones and Technoparks
- Top 500 enterprises in industry and services sector separated by turnover and value added. Etc.



## **Coverage for GOV and PNP institutions**

#### **Target population:**

- 1. All Government units :
- Central (federal),
- Regional (state)
- Municipal (local) government, including social security funds
- 2. Non-profit institutions (NPIs) that are non-market producers and are controlled by a government unit,
- 3. Public institutions dealing with STS: statistical, meteorological, geological and other public services, museums, hospitals.
- 4. Government-controlled enterprises are excluded from the Government sector
- 5. All Public higher education institutions are excluded from the Government sector



#### **Coverage for Higher education**

#### **Target population**

- 1. All universities, colleges of technology and other institutions providing formal tertiary education programmes, whatever their source of finance or legal status.
- 2. All research institutes, centres, experimental stations and clinics that have their R&D activities under the direct control of, or administered by, tertiary education institutions.
- 3. Need time-use surveys and other methods of estimating shares of R&D R&D coefficients- in total activities in the higher education sector



# **Survey design example in Turkey**

<b>THE REAL PROPERTY OF THE PROP</b>	RESE/ Activit and Non	ARCH AND DEVELOF IES SURVEY FOR FII I-FINANCIAL CORPO 2019			Questionnal Statistical un	ire code nit number			Paper based questionnaire is	;
Legal Title Signage Title Tax identification Number Address		Tax Offic	e Code						prepared only for internal usage	or
Province District Village Avenue / Street							-	ľ		
Zip code Address code Contact information Phone number (Fixed)			Fax							
Phone number (GSM)			e-mail		0					
WEB	www.		KEP		0					
Purpose of survey: The purpose activities and the results to be obtain economic policies depending on the Coverage: Financial and non-finan Methodology. All the units in the sc Confidentiality: This information is Articles 13 and 14 of the Law No. 5 or person, can not be used except f This information date 10.11.2005 completed at the desired time, or it 53 and 54 of the related law. The obligation to provide information.	of this survey ned from this e m. call corporation cope of the res- collected sole 429. The inforr or statistical pu and Turkey st they are ansi e implementat	Is to measure the financial and hi study will be an important resource ' is operating in the private sector as earch are compiled in electronic for ity for use in statistical studies. The nation you provide may not be give imposes, and can not be a means of atistical Law No. 5429 "7, 8, 9 ar vered incorrectly or incorrectly, adm ion of administrative monetary pe	man resources al for the establishme well as State Econ n via web. confidentiality of th n to any administra proof. di 10 of harveste inisitrative fines of nattles and other j	located to Researc int of science policy, omic Enterprises (S ne obtained informa titve, judicial or milit ed in accordance. If 3.150 (TL) shall be penaities does not	h and Developme , Industrial policy a COEs) are Included ation has been sec (any organ, authori if the questionnail remove the stati	ent (R & D) and general d. cured under ity, authority res are not g to Articles istical unit's				
I would like to ask you to fill out the information.	e questionnaire	e correctly and completely in the di	ection of the expla	inations and thank j	you for your coop Mehmet	eration and				
PLEASE SI For you D	UBMIT THIS http ir questions, evlet Mahal	QUESTIONNAIRE USING T ps://harzemli.tuik.gov.tr/ed/ you can contact with the Reg Türkiye İstatistik Kun lesi Necatibey Cad. No: 114 www.tuik.gov.tr	HE FOLLOWIN EdUygulamaDis ional Organizati umu 08650 Çankaya	G LINK IN 15 D. 5 on of TurkStat. a/ANKARA	AYS.					

#### INSTRUCTIONS for QUESTIONNAIRE

#### DEFINITONS AND EXPLANATIONS

Enterprise: The enterprise is an organizational form that produces goods and services using decision autonomy at first degree. An enterprise carries out one or more activities at one or more locations. The relation between enterprise and legal unit is directly stated by this definition. An enterprise corresponds to a legal unit or combination of legal units. This questionnaire; if the enterprise has a unit operating under more than one account under the same tax identification number; it must be filled out at the enterprise center to cover all the units' information.

Lecal unit: A unit that carries out some or all of its activities related to goods and services in a geographically defined place. The local unit is the department established in a geographically identifiable address such as an office, a shop, a kicek, a factory, a workshop, a mine, a building site, a hold, a restaurant, a cafe, a school, a hospital. The local unit is the place where one or more people conduct their full-time or part-time economic activity for their own enterprise. The place where the center of the enterprise is located and the units that carry out the auxiliary activities are the local units.

Research and development: Research and experimental development comprise creative work undertaken on a systematic basis in order to increase the stock of knowledge, including knowledge of man, culture and society, and the use of this stock of knowledge to devise new applications.

Following examples of sectors that have difficulty in finding R&D activities. Examples and other information on R&D activities and activities to be excluded from R&D can be found as follows:

Five criteria for the definition of R&D: The five main criteria for the identification of R&D projects as well as specific R&D projects are given as follows:

1) Novel: The activity has not been used in the market to be defined as R & D and it needs to contain new findings for the sector. Copied, imitated, reverse engineering and information-gathering activities are not covered by R & D. Experimental development projects aiming at the development of new concepts and ideas related to the design of new products or processes are in the scope of R & D. For example, the documentation of a systematic test of a chemical reaction that allows a molecule that can not be produced in the scientific literature to be obtained is also considered as R8D.

2) Creative: An R & D project aims to produce new definitions and ideas for the development of existing knowledge. The human factor for this production should be the front panel. The presence of research personnel in a project with other criteria is an important factor in achieving this criterion.

3) Uncertain: In general, expenditure, time, etc. required to achieve expected results in R & D activities, the elements are unknown. In some cases, the desired results can be achieved in a shorter period of time or at a lower cost.

4) Systematic: Items related to targets, finance and human resources aiming to meet the specific needs of the project with R & D are recorded. 5) Transferable and/or reproducible: An R&D project should allow for new information transfer and its results to be used by other researchers in their projects. The results obtained may be neealive or unattainable, but the aim is to increase the available knowledge of R&D.

Ex	amples of R & D activities according to R & D scope and sectors What is R&D?		What is not R&D?
	<ul> <li>Development of Internet technology</li> </ul>	[	<ul> <li>Routine testing and standardisation</li> </ul>
	<ul> <li>Industrial design for R&amp;D project</li> </ul>		<ul> <li>Production and related technical activities</li> </ul>
	- Industrial engineering in prototype development for new or improved		<ul> <li>Patent and licence work</li> </ul>
	products		<ul> <li>Feasibility studies</li> </ul>
	<ul> <li>Software development including innovation</li> </ul>		<ul> <li>Scientific and technical information services</li> </ul>
			<ul> <li>Routine software development</li> </ul>
			<ul> <li>General purpose data collection</li> </ul>

#### Examples of software development:

In order for a software development activity to be termed R & D, its completion must be related to a scientific and / or technological progress and

to ensure systematic resolution of scientific and / or technological uncertainty for the purpose of the project. Below are some examples of R & D

#### activities in the field of software.

- The development of new programming systems or languages,
- A new search engine design and implementation
- The solution of hardware or software problems used in a network, system or re-engineering process,
- The creation of new or more efficient algorithms with new techniques,
- . Creation of a new and original coding or security method.

#### Software examples that out of scope

- The development of a business software and information system with existing equipment and known methods,
- The addition of user function to existing application ,
- Preparing website or software with existing tools,
- The use of standard applications for coding, security verification and data integrity testing

In service companies, R&D may not always be formally organized (as in the case of the presence of a separate R&D department, researchers or research engineers on the institution's personnel list)

Sectoral Statistics Department Science and Technology Statistics Group Definitions and examples from FM (3 pages)

All definitions and examples are added on webquestionnaire

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<b>Bes-Ouestio</b>	onnaire			
1. Is your unit that operate at this addre	ss, enterprise centre?			Packaround
Yes 1	No 2 Please inform your inter questionnaire to the ent	wiever to convey this erprise center.		buckyrounu
2. Is your enterprise part of a corporate	group (holding company,company union etc.)?			Information (some
Yes 1	No 2 Go to question 3			variables like
2.1. Please indicate the country wh	ere the center of the group name and group.			
Name of Group		CODE		turnover and
Country	( Do no	ot fill the code area )		
3. Capital distribution of your enterprise		,		economic activity
1. Domestic capital	(%)			obtained from other
2. Foreign capital				sources)
Total	100			
4. Did your enterprise carry out intram	ural R&D activities in 2019?			
(Definitions regarding R&D is clarified in Instructions a	ection.)			
Yes 1	No 2 Go to question 13		$\longrightarrow$	Main filter question
5.1 Field of R&D related to your intramu	ural R&D activities carried out in 2019			
(Please fill the table according to the distrubion of R&	D activies considering the instructions in Field of R&D Classification.)			
Field of R&D	(%)			
1. Natural sciences				
2. Engineering and technology				
3. Medical sciences				FORD
4. Agricultural sciences				
5. Social sciences				
6. Humanities				
Total	100			
5.2 Are your intramural R&D activities in	ncluding biotechnology R&D?			
Biotechnology: The application of science an products and services and for the exchange of	d technology to living organisms and / or parts, products and m living or non-living organisms, for the purpose of producing knowledg	nodels of these organisms, ge.		
Yes 1	No 2 Go to question 6.1.			Piotochnology D& D
5.2.1 Proportion of biotechnology R	&D activities to intramural R&D activities (%)			Βιστεςμησιοθλικάς



Number of R&D	) personnel, time	devoted to i	ntramural R8	D activities a	and R&D personel expe	nditures in 2019		
D personnel expendit	ture with service pro	curement shou	id be taken into	consideration	Other Extramural Current C	osts Section.		
(Please fill this tab	le considering the de	Number P	of full or part thersonnel in 20	ns.) time R&D 19	Aveage weekly working time (hour)	Average weekly time devoted to R&D activities (hour)	Average employer cost per person(*) (TL)	R&D personnel expenditure (TL)
Occupation and	d educational el	Female	Male	Total	Average hour for each line Individually	Average hour for each line Individually	Avarage employer cost per person for each line individually	[Column 3 x (Column 5 / Column 4) x Column 6]
		Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7
Researchers								
Doctoral or equival	lent level							
Master's or equiva	lent level							
Bachelor's or equiv	valent level							
Other tertiary level	diplomas							
Post-secondary or	equivalent level							
TOTAL - A								
Technicians/ equi	ivalent staff							
Doctoral or equival	lent level							
Master's or equiva	lent level							
Bachelor's or equiv	valent level							
Other tertiary level	diplomas							
Post-secondary or	equivalent level							
Other	- 1							
TOTAL - B								
Other supporting	staff							
Doctoral or equival	lent level							
Master's or equival	lent level							
Bachelor's or equit	valent level							
Other tertiany level	diplomas							
Post-secondary or	equivalent level							
Other	equivalent level							
						Total R&D expenditu	ure for Labour cost in 2019	
(*) Average employe	r cost per person i	ncludes net pa	ayment, social	security share	for employee and employ	er, unemployment insurance	for employee and employer, over	rtime, bonueses, compensation,
social and public	relief.							
							· · · · · · · · · · · · · · · · · · ·	
	Nun	nbero	f R&D		Calculatina	FTF values	Calculating F	TF R&D nerson
	n	person	nel		for each s	uh-aroun	expenditure fo	or each sub-ar
	P				jui cuch s	us group		si cucii sub-git

Sectoral Statistics Department

Science and Technology Statistics Group







## **GOV & PNP-Questionnaire**

I. Did you carry out intramural R&D activities in 2019?         (Definitions regarding R&D is clarified in Instructions section.)         Yes       1       No       2       Go to question 11	Main difference derives from NABS.
2. Socio economic objectives of your intramural R&D activities carried out in 2019 ?	
(Please fill the table according to NABS classification table given in the instructions ) Socio economic objectives (%)	1
1. Exploration and exploitation of the earth	
2. Environment	
3. Exploration and exploitation of space	
4. Transport, telecommunication and other infrastructures	Press Release Table:
5. Energy	Government expenditure
6. Industrial production and technology	on R&D by socio-
7. Health	economic objectives and
8. Agriculture	type of costs
9. Education	
10.Culture, recreation, religion and mass media	
11. Political and social systems, structures and processes	
12 General advancement of knowledge: R&D	
(R&D financed from general university funds (GUF))	
13. General advancement of knowledge: R&D (R&D financed from other sources than GUF)	
14. Defence	
Total	

## **HES-Data survey process**



Sectoral Statistics Department Science and Technology Statistics Group

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# **Data collection**



➢ Regional Organization



## **Standard perspective**

4. <u>2018</u> yılı Dahili Ar-Ge faaliyetleri (Ar-Ge tanımı yukarıda verilmiştir. Lütlen doldu	rmadan önce inceleyiniz.)			Defini	itions beyond the
2018 yılında dahili Ar-Ge faaliyeti yürütüldü mü?	et gar	Dahili Ar-Ge Faaliyetleri: Finans koynağı ne olussa olsan gürişimin kendi personeli tarafından veya gürişimin kendi personeli ve daş damışmanların bürlikte çalışarak Türkiye'de gerçeklerindeni Ar-Ge faaliyetlerii. Dahili Ar-Ge alayletine gürişimin üçüncü kişiler (müşteriler) adına yürütlüğü Ar-Ge faaliyetleri de dahildir.		questi key ar	ions (nearly all the nd controversial ones)
5.1 2018 yılında girişiminiz bünyesinde yürütüle (Tabloya Bilin ve Teknoloji Akatan Santhama'ında verile yanlarında yer alan Saru İşareti '7' semboline mouse ile y	n dahili Ar-Ge faaliyetlerinin ilişkili olduğu bilim dallı n tanımlan dikkate alırak, bilim dallarına yaplan Ar-Ge harcama aklaşmanız yeterli olacaktır.)	arı (%) Jarımı dağılmına göre doldurunuz. Bu sınıflamayı okuyabilmek için aşağıdaki bilim dallarının			
$\bigcirc$ 1-Doğa bilmleri alanında yürübtilen faaliyetlerin oranı ( $\bigcirc$ 2-Mühendülk ve telmolgi alanında yürübtilen faaliyetle	6) rin orani (%)				
③ 3-Tébbi bülmler alanında yürütülen fadiyetlerin oranı (3           ④ 4-Tamısal bülmler alanında yürütülen fadiyetlerin oranı	(%)			Г	
O 5-Scogal bilimter alennda yürütilen fasilyetlerin oranı (	ia) n teori; Spesifik edebiyatlar; Dibilimi; 6.3 Felsefe, Etik ve Din Felsefe,	bilm ve teknoloji taihi ve felsefesi; 5.4 Sanat (sanat, sanat taihi, sahne sanatlatı, müzik) -Sanat, s	Sanat tarihi; Mimari tasann; Sahne sanatlan çalışmaları (Müzikoloji,		User friendly pop-

5.2 Biyoteknoloji Ar-Ge faaliyeti		5.2 Biyoteknoloji Ar-Ge faaliyeti	
Yukanda beyan ettiğiniz dəhili Ar-Ge faaliyetleriniz biyoteknoloji Ar-Ge'si içeriyor mu?       Evet       Hayır         Biyoteknoloji Ar-Ge çalışmalarının dəhili Ar-Ge faaliyetleri içerisindeki oranı (%)       Image: Comparison of Compariso	Biyəteknələji Ürün, hizmet ve bilgi üretimi amacıyla canlı ya da cansız materyallerin değiştirilmesi için bilim ve teknolojinin canlı organizma, parça, ürün ve modellere uygulanmasıdır.	Yulanda beyan ettiğiniz dahili Ar-Ge faaliyetleriniz biyoteknoloji Ar-Ge'si içeriyor mu? 🔘 Evet 🔘 Hayır	Biyeteknoloji Üran, hizmet ve bilgi üretimi amacışla canlı ya da cansız mateyollerin dağıştırilmesi için bilim ve teknolojinin conlı organizmo, parça, ürün ve modellere uygulanmendur.

#### Hide & show results for filter questions



### **Standard perspective**

6.1.A Araştırmacı																
																<b>Examples of tasks</b>
Araştırmacı: Yeni bil Veya geliştiren kimse - Test ve analizleri - Operasyon meti - Farklı teknikler v - Pratik uygulama - Pratik uygulama - Yapıların, makin - Araştırma sonuç - Diğer organizaşı - Bilimsel makale Araştırmacıların yürü Juna ek olarak, Ar-G	ilgini elerd totlar erini ve m aları çları çları çyonl syonl syonl Ge fa	nin tasarlanm dir. Ar-Ge fat eneyleri ve a annı ve yazılın i toplamak, iş modeller kullı a geliştirmek rin, sistemle nının uygulan nlara ilgili hiz rapor hazırla iğü çalışmala faaliyetlerind	nası ve aliyeti maştırı mları, şleme anası veya rin ve masıı metle amakt arın bi e dahi	e oluşturulma yürüten <u>her l</u> maları yürütn modelleri, tec k, değerlendi k, değerlendi k araştırma vı iyileştirmek iç bileşenlerin t bileşenlerin t bileşenlerin t bileşenlerin k bileşenlerin karu ri veren kuruı rr. il olan doktor	si ile uğraşan uzr ilrimde en az bir a ek, wileri, kavramları mek, analiz etmel deney sonuçların deney sonuçların in belli ilkeler, tek deney sonuçların sıt, yapım, kurulu u kuruluşları ve tir nların Ar-Ge faaliy ik açıdan planlanı a öğrencileri de a	manlaro araştırn k ve yo nı değe mikler ım ve b cari teş yetlerin ması vo araştırn	dır. Araştırı nacı vardır. rumlamak, rumlamak, ve süreşler ve süreşler akımının ta sebbüsler iç i planlamal e yönetimi i nacı olarak	nacılar operasyon y Araştırmacının göre bunlardan sonuçlar uygulamak, sarım, planlanması in tavsiye ve destek k, yönlendirmek ve k le ligilenen yöneticili ele alınmalıdır.	öntemleri vi, • çıkarmak ve organiz sunmak, sunmak, oordine et	ni ya da yazılımları, mo ; ;asyonu konusunda da mek, vb.) araştırmacı kapsa	ədelleri, teorileri ve kavramları nışmanlık yapmak, mında değerlendirilmelidir.	araştıran, bu unsurları	iyileştiren			performed by R&D personnel
Eğitim durumu	0	Kadın		⑦ Erkel	(7 Top	lam	⑦ Haft	alık kişibaşı ortalamı çalışma süresi (saat)	a 🕜 Ha	ftalık kişibaşı Ar-Ge'ye ayrılan ortalama süre (saat)	2018 yılında ortalama kişibaşı yıllık <u>işverene maliyet</u> (TL)	Ar-Ge persone harcaması (TL)	1			
Joktora ve üstü	1			2	3	1	45		] [15		250.000	250.000				
üksek lisans	2			4	6		45		20		200.000	533.333				Auto sum structure
sans	3			5	8		40		30		150.000	900.000				with read-only view
slek yüksekokulu																
e																
plam:	6			11	17		]					1.683.333				
ası ile uğraş, <u>birimde</u> en a nek, orileri, kavra rmek, analiz e deney son çin belli ilkel rest, yapım, l u kuruluşlar mların Ar-Gu	an az k am z et ler, kui ri v ze fa	n uzman bir araş nlar Uya tme çlar r, te ırulı ve t faal	nlaro tirn ari	dır. Araş nacı varo metş Biyo faali	tırmacılar lır. Araştırn teknoloji Ar yetleri içeris	oper nacio r-Ge sinde	rasyon nın gör çalışma eki oran Iam	yöntemlerin evi, larının dahili I (%) boş ge	i ya da Ar-Ge çileme	a yazılımları, n	nodelleri, teor lanışmanlık ya		Si a <u>f</u> qı	mult fects vesti	tan s flc ioni	eous warnings that ow and cross checks in naire
nik açıdan pl ra öğrencile	lan ri (	nlan de araş	tırn	nacı ola	rak ele alır	ıma	lıdır.		,	acı kaps	amında değer					



#### **Standard perspective**

Araştırmacı toplamı (6.1.A	N		Ar-Ge personel topl	amı (6.1.A+6.1.l	B+6.1.C)	Toplam Ar	r-Ge personel harcaması		
Kadın araştırmacı sayısı	6		Kadın Ar-Ge persone	el sayısı 6		1	1.683.333		
<u>Erkek</u> araştırmacı sayısı	11		Erkek Ar-Ge persone	l sayısı	l				
Toplam araştırmacı sayısı	17		Toplam Ar-Ge perso	nel sayısı	1				
'Araştırmacı kadın ve erke 'Kadın ve erkek personel sa	k personel sayı: yıları toplamı 6.	si toplami tablo 1.D'de hesapla	o 6.1.D'de verilen <u>top</u> anan "Toplam kadın ı	o <u>lam araştırman</u> Ar-Ge personel	<u>cı</u> sayısına eşit o sayısı" ile "Topl	lmalıdır.) am erkek Ar-Ge pe	ersonel sayısı"na eşit olm	alıdır)	
Araştırmacı kadın ve erke Kadın ve erkek personel sa Ar-Ge personeli yaş grubu	k personel sayı: yıları toplamı 6. Kadın araştırmacı	sı toplamı tablo 1.D'de hesapla Erkek araştırmacı	o 6.1.D'de verilen <u>top</u> anan "Toplam kadın ı Toplam araştırmacı	olam araştırmad Ar-Ge personel Kadın Ar-Ge personeli	<u>cı</u> sayısına eşit o sayısı" ile "Tople Erkek Ar-Ge personeli	imalidir.) am erkek Ar-Ge pe Toplam Ar-Ge personeli	ersonel sayısı"na eşit olm İ	alıdır)	
'Araştırmacı kadın ve erke Kadın ve erkek personel sa Ar-Ge personeli yaş grubu	k personel sayı: yıları toplamı 6. Kadın araştırmacı (kişi)	sı toplamı tablo 1.D'de hesapla Erkek araştırmacı (kişi)	o 6.1.D'de verilen <u>top</u> anan "Toplam kadın ı Toplam araştırmacı (kişi)	o <u>lam araştırmad</u> Ar-Ge personel Kadın Ar-Ge personeli (kişi)	<u>cı</u> sayısına eşit o sayısı" ile "Toplı Erkek Ar-Ge personeli (kişi)	Imalıdır.) am erkek Ar-Ge pe Toplam Ar-Ge personeli (kişi)	ersonel sayısı"na eşit olm İ	alıdır)	
Araştırmacı kadın ve erke Kadın ve erkek personel sa Ar-Ge personeli yaş grubu 25 altı	k personel sayı: yıları toplamı 6. Kadın araştırmacı (kişi)	sı toplamı tablo 1.D'de hesapla Erkek araştırmacı (kişi)	o 6.1.D'de verilen <u>top</u> anan "Toplam kadun Toplam araştırmacı (kişi)	alam araştırmad Ar-Ge personel Kadın Ar-Ge personeli (kişi)	<u>cı</u> sayısına eşit o. sayısı" ile "Toplı Erkek Ar-Ge personeli (kişi)	imalıdır.) am erkek Ar-Ge pe Toplam Ar-Ge personeli (kişi)	ersonel sayısı"na eşit olm i	alıdır)	
Araştırmacı kadın ve erke Kadın ve erkek personel sa Ar-Ge personeli yaş grubu 25 altı 25-34	k personel sayı: yıları toplamı 6. Kadın araştırmacı (kişi)	sı toplamı tablo 1.D'de hesapla Erkek araştırmacı (kişi)	o 6.1.D'de verilen <u>top</u> anan "Toplam kadın Toplam araştırmacı (kişi)	Aam araştırmad Ar-Ge personel Kadın Ar-Ge personeli (kişi)	<u>cı</u> sayısına eşit o. sayısı" ile "Topl Erkek Ar-Ge personeli (kişi)	imalıdır.) am erkek Ar-Ge pe Toplam Ar-Ge personeli (kişi)	ersonel sayısı"na eşit olm i	alıdır)	
Araştırmacı kadın ve erke Kadın ve erkek personel sa Ar-Ge personeli yaş grubu 25 altı 25-34	k personel sayı: yıları toplamı 6. Kadın araştırmacı (kişi)	sı toplamı tablo 1.D'de hesapla Erkek araştırmacı (kişi)	o 6.1.D'de verilen <u>top</u> anan "Toplam kadun Toplam araştırmacı (kişi)	Aam araştırmad Ar-Ge personel Kadın Ar-Ge personeli (kişi)	<u>cr</u> sayısına eşit o sayısı" ile "Toplu Erkek Ar-Ge personeli (kişi)	imalıdır.) am erkek Ar-Ge pe Toplam Ar-Ge personeli (kişi)	ersonel sayısı"na eşit olm li	alıdır)	
Araştırmacı kadın ve erke Kadın ve erkek personeli saş Ar-Ge personeli yaş grubu 25 altı 25-34 25-34	k personel sayıs yıları toplamı 6. Kadın araştırmacı (küşi) 	sı toplamı tabilo 1.D'de hesapla Erkek araştırmacı (kişi)	o 6.1.D'de verilen <u>top</u> anan "Toplam kadın. Toplam araştırmacı (kişi)	Aar araştırmad Ar-Ge personel Kadın Ar-Ge personeli (kişi)	cr sayrsma eşit o sayrsı" ile "Toplu Erkek Ar-Ge personeli (kişi)	imalıdır.) am erkek Ar-Ge pe Toplam Ar-Ge personeli (kişi)	ersonel sayısı"na eşit olm ii	əlıdır)	
Araştırmacı kadın ve erke Kadın ve erkek personeli sa Ar-Ge personeli yaş grubu 25 altı 25-34 35-44 45-54 55-64	k personel sayıs yıları toplamı 6. Kadın araştırmacı (kişi)	sı toplamı tabild 1.D'de hesapla Erkek araştırmacı (kişi)	o 6.1.D'de verilen <u>top</u> anan "Toplam kadun Toplam araştırmacı (kişi)	olam araştırmad Ar-Ge personel Kadın Ar-Ge personeli (kişi)	<u>cr</u> sayısına eşit o sayısı" ile "Toplo Erkek Ar-Ge personeli (kişi)	imalıdır.) am erkek Ar-Ge pe Toplam Ar-Ge personeli (kişi)	ersonel sayısı"na eşit olm ii	alıdır)	
Araştırmacı kadın ve erke Kadın ve erkek personeli sa Ar-Ge personeli yaş grubu 25 altı 25-34 35-44 45-54 35-64 35+	k personel sayısı yıları toplamı 6. Kadın araştırmacı (kişi)	sı toplamı tabild 1.D'de hesapla Erkek araştırmacı (kişi)	o 6.1.D'de verilen <u>top</u> anan "Toplam kadın. Toplam araştırmacı (kişi)	olam araştırmad Ar-Ge personel Kadın Ar-Ge personeli (kişi)	cr sayrsma eşit o saytsı" ile "Toplu Erkek Ar-Ge personeli (kişi)	imalıdır.) am erkek Ar-Ge pe Toplam Ar-Ge personeli (kişi)	ersonel sayısı"na eşit olm	əlıdır)	

Auto filled tables to help users to distribute detailed breakdowns such as researchers for age groups



## **Analyze perspective**



Control and analyze process for central organization and regional offices. Authorized for specifics dates for offices, reporting option for regional and central bodies of TurkStat



#### **Analyze perspective**

HARZEMLİ WEB	① GÖKHAN ELYILDIRIM YILLIK İŞ İSTATİSTİKLERİ DAİRE BAŞKANLIĞI 👻 🔟
ali ve Mali Olmayan Şirketler Araştırma Geliştirme Faaliye	etleri İstatistikleri Soru Formu Analiz, 2018 Kalan oturum süresi (saniye): 1199
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Detailed explanations for regional offices about potential / soft and hard errors

#### ✓ Y2Y checks (R&D active firms)

- ✓ Compared with other surveys (Innovation, biotechnology)
- ✓ R&D expenditures compared with R&D deductions data from Ministry of Finance (enterprises benefit from R&D tax incentives and enterprises in Technology Development Zones and Technoparks)
- ✓ R&D expenditures compared with R&D grants data from supporting institutions
- $\checkmark$  R&D personnel compared with SSC exemptions data from Social Security Institution
- ✓ Financial sources compared with R&D grants data from supporting institutions



# **Measurement and analysis**



### **Measuring R&D**

# Survey or other sources?

- 1. Statistics on R&D require regular, systematic and harmonised special surveys.
- 2. Administrative data may primary sources to provide information, but:
  - concepts of R&D used often different from Frascati Manual concepts
  - concepts may change over time
  - may not give the desired variables (Such as, FTE value)
  - may be used as an auxiliary source of information to assist in the imputation of missing or inconsistent information
  - administrative data may be used for sampling frame maintenance.

...

- 3. Estimates are a necessary supplement to surveys
  - especially in higher education sector



#### **Measuring R&D**

#### **R&D** surveys

- 1. Should identify and measure <u>all</u> financial and personnel resources devoted to <u>all</u> R&D activities in <u>all</u> R&D units, at <u>all</u> levels.
- 2. are mainly addressed to R&D-performing units.

#### Questions

- 1. Which sectors are to be covered?: public and/or private? Business, Government, Higher education, Private non profit?
- 2. Method?: census, sample or purposive?
- 3. Who is the target of the survey? How to develop the survey registers?
- 4. Who will complete the survey questionnaire?
- 5. How the survey will be conducted? administrative interviews, postal, email correspondence, web based, etc.?



## **Measuring R&D: BES**

## **Statistical unit: Enterprise**

- Regular R&D performers (have separate R&D units)
- Occasional R&D performers



R&D surveys should include all.

# The question: Who perform R&D?



## Measuring R&D: BES, Survey approaches

- 1. Census-based survey of large enterprises and a sample of smaller ones
  - Should be based on <u>business register.</u>
  - Sample could belong to a certain population (in terms of economic activity ,size class..).
  - This is the approach followed in innovation surveys.

## **Disadvantages:**

- R&D performed in the past in the enterprise is not considered.
- Very small enterprises and enterprises in certain less R&D-intensive industries often excluded for cost reasons.
- When the sample size is very small, estimates may be less reliable, owing to raising factors.



#### Measuring R&D: BES, Survey approaches

2. Purposive survey on that deliberately sets out to identify R&D performers (i.e. cover all firms known or supposed to perform R&D)

#### Based on a business register of R&D performing enterprises:

- Registers of publicly funded research grants
- Lists of enterprises reporting R&D activities in previous R&D surveys, or in innovation surveys / enterprise surveys (SBS etc)
- Industrial research associations / Professional associations / Chamber of Commerce / Trade associations
- Company annual reports / Trade journals
- Lists of enterprises claiming tax deductions for R&D



## Measuring R&D: BES, Survey approaches


#### For the purpose of consistency check of respondent unit

- Data entry program is prepared for queries and controls.
- Analyze perspective program is prepared for queries and controls.
- R&D project support information is received from Such as TUBİTAK, KOSGEB, TTGV for creating frame and using control purpose in fieldwork.
- Direct and indirect R&D support information are received from Ministry of Finance for creating frame and using control purpose in fieldwork.
- The information of enterprises that have applied for R&D projects but not accepted is also received from supporting institutions to control scope of the frame.
- SSC exemptions data related R&D personnel is received from Social Security Institution for creating frame and using control purpose in fieldwork.
- Other survey information is also used for creating frame and using control purpose in fieldwork. For example, Innovation, Biotechnology research..



### After the fieldwork (example in Turkey)

All suspicious or abnormal answer like the following are determined and transmitted to the Regional Offices for control purposes problems:

- The enterprises whose R&D expenditure has decreased significantly despite the increase in the number of R&D personnel.
- Education levels of R&D personnel are different but the wages they receive are the same.
- The enterprises that have exactly the same answers in terms of expenditure with the previous year.
- The enterprises whose R&D expenditure distribution with significant deviation in term of capital or current R&D expenditure.
- The enterprises that have significant increase / decrease in their R&D expenditures compared to the previous years.
- The enterprises have not any R&D expenditure in the previous years but that have reached the top 1500 in terms of expenditure in the reference year.
- The enterprises whose R&D expenditures have increased significantly, despite the number of R&D personnel has decreased.
- The enterprises whose R&D expenditures have decreased significantly, despite the number of R&D personnel has increased.
- The enterprises whose number of Master's and Doctoral Researcher R&D staff has significantly decreased/increased (without project support.)

### Data analysis phase (example in Turkey)

- Completeness checks of the final data are made
- All queries from the analytical data via:
- SAS Program
- SQL Codes
- Ms Excel
- Preparing press release and statistical tables according to:
- Statistical Classification of Economic Activities in the European Community (NACE)
- Socio-economic objectives classification (NABS)
- International Standard Classification of Education (ISCED)
- The Nomenclature of territorial units for statistics (NUTS-1/NUTS-2)
- Classification of Fields of Research and Development (FORD)
- R&D personnel by function according to FM\_2015 (OECD)
- The information note is prepared for general assessment



## **Higher Education Sector**



### Data analysis phase for R&D Researchers (example in Turkey)

#### Labour costs: Researchers



### Labour costs, R&D other staff (example in Turkey)





### **Current and investment expenditures (example in Turkey)**



### **Current and investment expenditures (example in Turkey)**

Indicators used to obtain a composite coefficient to calculate current and investment expenditures



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# Dissemination

#### **Dissemination example in Turkey (Press release)**



#### **Research and Development Activities Survey, 2019**

Gross domestic expenditure on R&D reached to 45 billion 954 million TRY

Gross domestic expenditure on research and development increased 7 billion 420 million TRY in Turkey and reached to 45 billion 954 million TRY in 2019.

#### Gross domestic expenditure on R&D, 2019

	Current prices (TRY)	Purchasing power parity (USD) <sup>(1)</sup>	USD <sup>(2)</sup>
Total gross domestic expenditure			
on R&D	45 953 691 096	24 974 832 117	8 092 433 187
GERD per capita (3)	556	302	98
(1) Purchasing power parity for 2019 (1 l	JSD = 1,84 TRY)		
(2) Import weighted exchange rate for 20	19 (1 USD = 5.6786 TRY)		
(2) Turkey consisting in 02 570 440 (Hid.	waar papulation antimatio	of 2010)	

#### Tables

Table-1 Gross domestic expenditure on R&D by sector and type of cost

 Table-2 Gross domestic expenditure on R&D by sector of performance

 and by source of funds

Table-3 R&D personnel by sector of performance and occupation

Table-4 R&D personnel by sector of performance and qualification

Table-5 R&D personnel by occupation and qualification

 Table-6
 General government expenditure on R&D by socio-economic

 objectives and type of costs

 Table-7
 Higher education expenditure on R&D by field of research and

 development and type of costs
 Image: Cost in the second s

 Table-8
 Financial and non-financial corporations expenditure on R&D

 by economic activities and type of costs

Table-9 Financial and non-financial corporations expenditure on R&D by source of funds

 Table-10 Financial and non-financial corporations R&D personnel by

 economic activity and occupation

 Table-11 Financial and non-financial corporations R&D personnel by

 economic activity and qualification

 Table-12 R&D expenditure and personnel by classification of Statistical

 Regions (SR) Level-2

 Table-13 Financial and non-financial corporations expenditure on R&D

 by size group and type of cost

 Table-14 Financial and non-financial corporations R&D personnel by

 size group and occupation

📥 Download Press Release

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### **Dissemination example in Turkey (Statistical tables)**

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#### **TURKISH STATISTICAL INSTITUTE**

#### **Dissemination example in Turkey (Geographic Statistics Portal)**



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#### **TURKISH STATISTICAL INSTITUTE**

### **Dissemination example in Turkey (Central Dissemination System**

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#### **Dissemination example in Turkey (Data research center of Turkstat)**

MAIN PAGE INSTITUTIONAL - STATISTICS - METAINFO - E-SERVICES - DATA RELEASE CALENDAR



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#### **International Dissemination (Eurostat/OECD)**

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#### **International Dissemination**

#### • OECD

- Science, Technology and Industry Scoreboard
- Main Science and Technology Indicators
- o OECD Science, Technology and Industry Outlook
- Research and Development Statistics (RDS)

#### Eurostat

- o Science, technology and innovation in Europe
- Science, and technology database

## אונור

### **Quality report**

- Contact
  - Metadata update
  - **Statistical presentation**
  - Unit of measure
- Reference Period
- Institutional Mandate
- Confidentiality
- Release policy
- Frequency of dissemination
- Accessibility and clarity
- Quality management
- Relevance
- Accuracy
- Timeliness and punctuality
- Coherence and comparability
- Cost and Burden
- Data revision
- Statistical processing



# Thank you...

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